AI in Finance Fall: Driving the Next Wave of Financial Innovation

Following the **exceptional success of our April edition**, we are pleased to announce the return of the summit this autumn. **AI in Finance Fall** will convene the region's preeminent financial innovators and AI visionaries in **New Jersey** to explore the rapidly evolving landscape of intelligent finance.

This summit is specifically designed for leaders who recognize that AI is no longer a peripheral tool, but the core driver of financial transformation. Building on the **momentum of our sold-out spring event**, this second edition offers an expanded platform to share expertise, address complex challenges, and lead the charge in financial technology.

Secure your place at the center of the conversation and ensure your organization is positioned for the next era of innovation.

What's New for AI in Finance Fall 2026

- Exclusive Strategic Insights: Gain a competitive edge by exploring the latest advancements in machine learning and generative AI tailored specifically for the financial sector.
- Actionable Outcomes: Transition from theory to execution with case studies focused on driving operational efficiency and sustainable growth.
- High-Level Networking: Connect with a curated group of industry leaders and pioneers who are actively redefining the future of global markets.

AI in Finance Fall 2026 Key Themes:

- THE NEXT FRONTIER OF AI IN FINANCE – From agentic AI and multi-agent systems to quantum breakthroughs reshaping trading, risk, and compliance.
- TRUST, REGULATION & RESPONSIBLE AI – Building transparency, fairness, and auditability while navigating evolving global regulatory frameworks.

- DRIVING BUSINESS VALUE & ROI – Moving beyond pilots to enterprise-wide adoption, delivering measurable outcomes in efficiency, revenue, and customer experience.
- AI FOR SUSTAINABLE & INCLUSIVE FINANCE – Leveraging AI for ESG, climate risk, and expanding financial access in emerging markets.

Speakers Confirmed:

- Sal Marraccino, *Divisional Chief Data Officer*, BNY MELLON
- Biswa Sengupta, *Chief AI Technologist: LLM Suite (CDAO)*, JPMORGAN CHASE & CO
- Antonia Dufree, *Global Head of Op Risk Insights and Analytics*, STATE STREET
- Argyro Tasitsiomi, *Head of AI & Investments Data Science*, T. ROWE PRICE
- Karun Appapogu, *Head of AI Technologies - CAI*, VANGUARD
- Dhagash Mehta, *Head of Applied Machine Learning Research for Investment Management*, BLACKROCK MANAGEMENT
- Anant Natekar, *Senior Director, Software Engineering*, NORTHWESTERN MUTUAL
- Cristian Homescu, *Director, Portfolio Analytics, Chief Investment Office, Global Wealth and Investment Management (GWIM)*, BANK OF AMERICA MERRILL LYNCH
- Krishna Chaitanya Yarlagadda, *Director, Data Science & AI*, MERCURY FINANCIAL
- Hari Kishan, *Director, Cloud Engineering*, MANULIFE
- Karamjit Singh, *VP of AI*, MASTERCARD
- Dhivya Nagasubramanian, *Vice President, AI Transformation & Innovation*, U.S. BANK
- Chandni Bhatiam, *Vice President, Lead Quantitative Development*, J.P. MORGAN
- Jaydip Mukhopadhyay, *Vice President, Data Science and Model Risk*, AMERICAN EXPRESS
- Akhil Khunger, *VP, Quantitative Analytics*, BARCLAYS
- Vishal Sharma, *VP, Software Engineering*, BROADRIDGE FINANCIAL
- Todd Lyon, *VP Engineering Manager*, TABBANK
- Sarah Zeis, *Manager, Data Intelligence & Advanced Analytics*, PORSCHE FINANCIAL SERVICES
- Andrew McElduff, Vice President, Membership Application Programs & Risk Monitoring Program Operations, FINRA
- Urie Tucker, *Senior Director, Model Risk Oversight*, FINRA
- Hariom Tatsat, *Director, AI Quant*, BARCLAYS
- Yvonne Li, *Director/Senior Data Scientist - Wealth Management Technology AI Solutions*, MERRILL LYNCH
- Sujeet Joshi, *Global Head of Operational Risk and AI Transformation*, BERNSTEIN
- Lovedeep Saini, *Chief Analytics Officer,* CONNER STRONG & BUCKELEW
- Lance Michael Senoyuit, *Financial Services Industry, Executive Advisor,* SAP AMERICAS REGION
- Guru Alampalli *, Head of Financial Markets*, ING
- Dishti Dave, *Site Reliability Engineer - AVP,* BARCLAYS
- Yu Yu, *Global Head of AI Client Experience*, BLACKROCK
- Manisha Jaiswal, *Executive Director, Wealth Management Technology*, JPMORGANCHASE

AI in Finance Fall 2026 | AGENDA
DAY ONE – October 21, 2026
New Jersey

7:30am – 8:30 am	*Registration & Open Networking in the Exhibition Area*
7:45am-08:30am	Breakfast Roundtable - (Invite Only): How AI Is Rewriting the Rules of Finance
8.30am-8:40am	WELCOME NOTE & OPENING REMARKS:

8:40am-9:05am	**Keynote Presentation: Complexity in Financial Forecasting: When More AI Model Capacity Helps—and When It Doesn't** Nonlinear AI/ML representations, such as Random Fourier Features, can reshape financial data in powerful ways, but added complexity does not automatically improve forecasting performance. What matters is not simply how many features or parameters a model has, but how much stable forecasting flexibility the data used can support, after accounting for sample size, feature geometry, and regularization. In noisy, low-signal financial settings, disciplined benchmarks—regularized linear models and exact kernel methods—remain critical for determining whether model complexity is adding genuine value. Argyro Tasitsiomi, *Head of AI & Investments Data Science*, T. ROWE PRICE
9:05am - 9:30am	**Keynote Presentation: From Algorithms to Autonomous Finance: A 20-Year Retrospective and the Road Ahead** • From models to machines that act; finance is moving from prediction to execution • Autonomy is compounding; what started as automation is becoming decision making • The next edge is not better algorithms; it is systems that think, learn, and act • The future is not AI assisted finance; it is AI driven finance Yu Yu, *Global Head of AI Client Experience*, BLACKROCK
9:30am - 9:55am	**Keynote Presentation: Ahead on Ambition, Behind on Production: Closing Financial Services' Agentic AI Gap** Most organizations expect to move the majority of their AI experiments into production within months — but only a quarter have actually gotten there. Financial services isn't immune to that gap; if anything, the institutions in this room are investing hardest into closing it. In this keynote, Lance Senoyuit, Sr. Principal, Financial Services Industry, Executive Advisor, SAP America's breaks down why the gap between AI ambition and AI in production persists, where the value quietly leaks out along the way, and what it actually takes to turn deployed AI into AI that changes the business. Key Talking Points: • The gap nobody's closing fast enough — more than half of organizations expect to move most of their AI experiments into production within months. Only a quarter have actually gotten there. That gap is where most institutions are sitting right now. • Where the value actually leaks out — it's rarely the model. Fragmented data, systems that don't talk to each other, and agents bolted onto old workflows instead of built into them quietly cap what "deployed" AI can deliver. • What closing the gap looks like — how leading institutions are turning proprietary data into a strategic asset and building the platform foundation that lets agents operate on real business context, not isolated pilots. Lance Michael Senoyuit, Financial Services Industry, Executive Advisor, SAP AMERICAS REGION
9:55am – 10:25am	**Keynote Presentation: Building Financial AI Agents with Memory & Reasoning** • Memory turns AI from reactive to strategic • Reasoning is the edge—prediction alone is not enough • Smarter agents make higher-stakes financial decisions • The real challenge: trusted memory, controlled reasoning Anant Natekar, *Senior Director Software Engineering*, NORTHWESTERN MUTUAL Dhagash Mehta, *Head of Applied Machine Learning Research for Investment Management*, BLACKROCK MANAGEMENT
10:25am – 10:50am	*Mid-Morning Coffee Break & Networking in Exhibition Area*

10:50am - 11:30am	Panel Discussion: Show Me the Money: Finding Real Value in AI Projects • Where is AI actually making money, not just generating headlines? • How much of your AI spend is real ROI versus expensive experimentation? • What is the most overhyped AI use case in finance right now? • Why do so many AI pilots look promising but fail to deliver real value at scale? Panelists: Biswa Sengupta, *Chief AI Technologist: LLM Suite (CDAO)*, JPMORGAN CHASE & CO Sarah Zeis, *Manager, Data Intelligence & Advanced Analytics*, PORSCHE FINANCIAL SERVICES Lovedeep Saini, *Chief Analytics Officer,* CONNER STRONG & BUCKELEW
11:30am-12:00pm	Keynote Presentation: Quantum AI in Finance: Breakthrough Timeline or Overhyped Promise • Is quantum AI in finance a real near term opportunity or just a long term research bet? • What specific financial use cases could quantum AI realistically unlock that classical AI cannot? • Are institutions investing in quantum AI for strategic advantage or simply to avoid being left behind? • What is the biggest misconception leaders have today about quantum AI in finance?
12:00pm - 12:35pm	Panel Discussion: Beyond Generative AI: Defining the Next Wave of Innovation in Finance • Is generative AI already becoming commoditized in finance? • If everyone has copilots, where is the real competitive edge? • What comes after generation; are you ready for AI that actually makes decisions? • Who will lead the next wave; incumbents reinventing themselves or AI native players? Panelists: Vishal Sharma, *VP, Software Engineering*, BROADRIDGE FINANCIAL Dhagash Mehta, *Head of Applied Machine Learning Research for Investment Management*, BLACKROCK MANAGEMENT Todd Lyon, *VP Engineering Manager*, TABBANK
12:35pm-1:00pm	Keynote Presentation: The Human Glue in AI: How Soft Skills Drive Impact in Financial Services • Bridging the gap between data teams and business leadership • Enabling scalable AI through cross-functional collaboration • Using emotional intelligence to lead change and foster adoption • Positioning soft skills as a strategic advantage in AI-driven initiatives Lovedeep Saini, *Chief Analytics Officer,* CONNER STRONG & BUCKELEW
1:00pm-1:10pm	USE CASE SHOWCASE: High impact sessions where leading companies showcase real AI use cases in finance, demonstrating how their solutions drive tangible results and business value in practice
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1:20pm – 2:20pm	*Lunch & Networking in Exhibition Area*
2:20pm – 2:50pm	AI IN FINANCE UNFILTERED: ASK THE EXPERTS: A fully interactive, no-slides session where attendees can engage directly with industry experts, ask candid questions on AI in finance, and receive practical, unfiltered insights in real time
2:50pm-- 3:30pm	Speed Meeting Roundtables (5-min rotations, 40 min total) — Networking & Deal Flow Sessions Topic Pods: Algorithmic Trading | AI Risk Models | RegTech Tools | GenAI in Banking | Quant Research | AI Ethics | Climate Risk AI |

<table>
<tr><td>3:30pm-
4:00pm</td><td colspan="2">Afternoon Coffee Break & Networking in Exhibition Area</td></tr>
<tr><td></td><td>TRACK A</td><td>TRACK B</td></tr>
<tr><td>3:55pm –
4:20pm</td><td>Workshop
From Demo to Deployment: Building Reliable, High-Accuracy AI for Financial Services
Most AI pilots look impressive, but fail when exposed to real financial data and risk conditions
Accuracy in finance is not optional; small errors can lead to significant financial and regulatory consequences
The real challenge is moving from controlled demos to robust, reliable systems that perform at scale</td><td>Workshop
Human-in-the-Loop: Designing The Optimal Hand-off Between AI Agents and Human Experts
The real challenge is not automation, it is knowing when humans must stay in control
Poor handoffs create risk; the right balance between AI and human judgment creates trust
Human oversight is shifting from decision making to exception handling and validation
The competitive edge is designing workflows where humans and AI amplify each other</td></tr>
<tr><td>4:20pm-
4:45pm</td><td>Presentation: AI in Finance: A Two-Year Retrospective (2024–2026)
How AI adoption in financial services evolved from experimentation in 2024 to enterprise-wide implementation in 2026
Key lessons learned from scaling Generative AI initiatives across compliance, risk, operations, and customer experience
What financial institutions must prioritize next to move from AI efficiency gains to long-term competitive advantage
Todd Lyon, VP Engineering Manager, TABBANK</td><td>Presentation: Observability and Attribution in large scale multi-agent systems
Scaling AI systems reliably in production
Monitoring and troubleshooting multi-agent systems
Best practices and open challenges for production
Manisha Jaiswal, Executive Director, Wealth Management Technology, JPMORGANCHASE</td></tr>
<tr><td>4:45pm-
5:20pm</td><td>Panel Discussion: Scaling AI Governance in Finance: How to Leverage Technology Effectively
Is your AI governance truly scaling, or is it already slowing down innovation?
How much of your governance is automated versus still relying on manual oversight?
Are you building governance for today’s models or for autonomous systems you cannot fully control yet?
If governance fails at scale, is it a technology gap or a leadership blind spot?
Panelists:
Chandni Bhatiam, Vice President, Lead Quantitative Development, J.P. MORGAN
Krishna Chaitanya Yarlagadda, Director- Data Science & AI at MERCURYFINANCIAL</td><td>Panel Discussion: Real-Time Risk Intelligence: How Generative AI Is Rewriting Risk Management
Are traditional stress tests already obsolete in a world of real time AI driven risk?
If AI can simulate infinite scenarios, are we finally solving risk or just creating new blind spots?
How much real time risk visibility do institutions actually have versus what they claim?
When AI reacts faster than humans, who is really in control of risk decisions?
Panelists:
Cristian Homescu, Director, Portfolio Analytics, Chief Investment Office, Global Wealth and Investment Management (GWIM), BANK OF AMERICA MERRILL LYNCH</td></tr>
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	Urie Tucker, *Senior Director, Model Risk Oversight*, FINRA	Andrew McElduff, *Vice President, Membership Application Programs & Risk Monitoring Program Operations,* FINRA Akhil Khunger, *VP, Quantitative Analytics*, BARCLAYS
5:20pm-6:00pm	*Networking Reception in the Exhibition Area*	
6:00pm	*End of Day 1*	

AI in Finance New York| AGENDA
DAY TWO – October 22, 2026
New Jersey

8:30am-9:00am	*Registration & Open Networking in the Exhibition Area*
08:50:am-9:00am	WELCOME NOTE & OPENING REMARKS:
9:00am-9:30am	Keynote Presentation: Agentic AI Explainability in Finance • Building trust and transparency in agentic AI decision-making within financial services • Balancing explainability, governance, and regulatory expectations for autonomous AI systems • Practical approaches to making agentic AI outputs understandable and actionable for stakeholders Hariom Tatsat, *Director, AI Quant*, BARCLAYS
9:30am - 10:00am	Keynote: AI Ethics in Finance: Bias, Fairness & Accountability When Algorithms Decide Who Gets Credit • How far are you willing to trade accuracy for fairness in credit models—and where do you draw the line? • Are most “bias mitigation” efforts actually working, or just ticking a compliance box? • When an algorithm gets it wrong, who is truly accountable—the model, the team, or the business? • Is explainability a real priority, or does it get sacrificed the moment performance is at stake? • Will regulation drive meaningful ethical AI—or just slow innovation without solving the core issues?
10:00am-10:40am	Panel Discussion: Transforming Financial Institutions with Agentic AI: Lessons from an Analytics Reinvention • What does agentic AI actually look like in production, and how far are you from it? • Where is agentic AI truly outperforming traditional analytics, and where is it still falling short? • What broke first when you tried to deploy agentic systems; your tech, your data, or your culture? • How much autonomy are you really willing to give AI when real money and risk are on the line? • What will it take for you to trust AI to make decisions, not just recommendations? Panelists: Yvonne Li, *Director/Senior Data Scientist - Wealth Management Technology AI Solutions*, MERRILL LYNCH Jaydip Mukhopadhyay, *Vice President, Data Science and Model Risk*, AMERICAN EXPRESS Dishti Dave, *Site Reliability Engineer - AVP,* BARCLAYS Aishwarya Kothapally, *First Vice President | Financial Crimes Compliance*, BHI

10:40 am – 11:10am	*Mid-Morning Coffee Break & Networking in Exhibition Area*
11:10am – 11:40pm	Keynote Presentation: Inside the AI Black Box: Explainability, Accountability & Trust in Algorithmic Decisions • No explainability, no trust; black boxes will not scale in finance • Accountability does not disappear; AI shifts who owns the risk • Opaque models create invisible systemic risks • Trust is the real currency; AI can erode or amplify Dhivya Nagasubramanian, *Vice President, AI Transformation & Innovation*, U.S. BANK
11:40pm-12:10pm	Keynote Presentation: Quantum-AI Hybrids in Portfolio Optimization: First Results from Live Trading Environments • Hybrid quantum AI approaches are moving from theory to early signals in live trading • The edge is emerging in complex optimization problems classical methods struggle to solve • Real value is not outperforming every trade, it is improving portfolio level efficiency • The question is no longer if it works, but where it delivers measurable advantage
12:10pm-12:40pm	Keynote Presentation: Governing AI Agents in Finance: Tactics, Strategies, and Tools for a New Era • Autonomous agents need guardrails—or they create new risks • Governance must move at the speed of AI • Trust in finance now depends on controlling algorithms • No oversight, no scale: governance is the real enabler Hari Kishan, *Director, Cloud Engineering*, MANULIFE
12:40pm-1:00pm	SPOTLIGHT SESSION Join us for a quick, dynamic session and see how these insights can be put into action immediately
1:00pm-2:00pm	*Lunch & Networking in Exhibition Area*
2:00pm-2:30pm	Keynote Presentation: AI-Native Banks: Architecture, Culture & Business Models That Leave Legacy Institutions Behind • AI first architecture is not an upgrade, it is a complete rebuild from the ground up • Legacy culture slows AI, AI native culture scales it • Business models are shifting from products to intelligent, data driven services • The gap will not be incremental, it will be exponential between AI native and legacy institutions Guru Alampalli*, Head of Financial Markets*, ING
2:30pm-3:00pm	Keynote Presentation: Bridging the Gap Between the Process and Delivery • How to overcome the challenges of aligning business and engineering on the AI journey • Unlocking the power of process optimization to drive sustainable profitability • Strategies for building a bridge between process and delivery in ai finance • Best practices for implementing ai solutions that deliver real-world results
3:00pm-3:10pm	Closing Remarks